



03-20-02

1646

EXPRESS MAIL NO: EV064845637US

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**TRANSMITTAL
FORM**(To be used for all correspondence
after initial filing)

| | |
|----------------------|------------------|
| Application Number | 09/854,133 |
| Filing Date | May 11, 2001 |
| First Named Inventor | Michael J. Lodes |
| Group Art Unit | 1646 |
| Examiner Name | |
| Attorney Docket No. | 210121.475C10 |

TECH CENTER 1600/2900

MAR 22 2002

RECEIVED
APR 11 2002
TECH CENTER 1600/2900

RECEIVED

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References (7) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): |
|--|---|---|

TECH CENTER 1600/2900

MAR 22 2002

RECEIVED

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-----------------|-------------------------------|--------------------------------------|
| Individual Name | Jeffrey Hundley, Ph.D. 42,676 | 00500 PATENT TRADEMARK OFFICE |
| Signature | | |
| Date | 3/19/02 | |

CERTIFICATE OF MAILING

| | | |
|--|------------------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage via Express Mail with label number EV064845637US in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below. | | |
| Typed or printed name | Monica Steinborn | |
| Signature | | Date: 3/19/02 |



EXPRESS MAIL NO: EV06484563708
PATENT

TECH CENTER 1600/2900 1 2002
TECH CENTER 1600/2900

RECEIVED
MAR 2 2002
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael J. Lodes et al.
Application No. : 09/854,133
Filed : May 11, 2001
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND
DIAGNOSIS OF LUNG CANCER
Art Unit : 1646
Docket No. : 210121.475C10

Commissioner for Patents
Washington, DC 20231


SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Documents on the attached Form PTO-1449 were cited in an Invitation to Pay Additional Search Fees for corresponding foreign application PCT/US01/09991, mailed February 25, 2002, a copy of which is enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC


Jeffrey Hundley, Ph.D.
Registration No. 42,676

JEH:mls

Enclosures:

Postcard
Form PTO-1449
Copies of Cited References (7)

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031
D:\NrPortbl\iManage\MONICAS\267874_1.DOC